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# Computer-simulated X-ray three-beam pinhole topographs for spherical silicon crystals 

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#### Abstract

X-ray three-beam pinhole topograph images for spherical silicon crystals were computer-simulated based on the $n$-beam Takagi-Taupin (T-T) equation. They were compared with those for parallel-plate crystals. The procedure to integrate the $n$-beam T-Tequation for a crystal with an arbitrary shape has been validated in a separate paper [Okitsu et al. (2011), Acta Cryst. A67, 550-556] from comparison between experimentally obtained and computer-simulated six-beam pinhole topographs for a channel-cut silicon crystal.


## 1. Introduction

One of the difficulties when solving the X-ray dynamical diffraction theory is how to deal with the complex shape of the crystal. The most widely known Ewald-Laue dynamical diffraction theory can be solved only for crystals whose surfaces are planar. In a separate paper (Okitsu et al., 2011), the present author and his coauthors have presented qualitative and quantitative agreements between experimentally obtained and computer-simulated pinhole topographs for a channel-cut silicon crystal. This result shows the efficiency of the method in solving the $n$-beam Takagi-Taupin equation (Okitsu, 2003; Okitsu et al., 2003, 2006) using incident spherical-wave X-rays for a crystal with a complex shape.
Incidentally, it has been recognized for many years that $n$-beam diffraction is one of the candidates to physically phase the structure factors of crystals, which were reviewed by several authors (Colella, 1995a,b; Chang, 1998, 2004; Weckert \& Hümmer, 1997, 1998; Shen, 2005). For applying this $n$-beam method to solving the phase problem, the most proper and convenient shape to be approximated for a crystal whose structure is to be solved is probably a sphere. In the following section, computer-simulated pinhole topographs for spherical silicon crystals are shown together with those for parallel-plate crystals.

## 2. Computer-simulated pinhole topographs

The procedure for obtaining computer-simulated pinhole topograph images shown in the present paper is fundamentally the same as that described in $\S 3$ of Okitsu (2003) and $\S 3$ of Okitsu et al. (2006).
Figs. $1(a)$ and $1(b)$ show three-beam pinhole topographs computersimulated with a photon energy of 12.0 keV for parallel-plate silicon crystals whose thicknesses are $75 \mu \mathrm{~m}$ and $7.5 \mu \mathrm{~m}$, respectively. The computing time for obtaining $(a),(b),(c)$ and $(d)$ of Fig. 1 was about 80 min each using four CPUs ( 16 cores) of the SGI Altix ICE 8400EX supercomputer system. Reflection vectors h(044) and g(4) 0) were assumed to be parallel to the surfaces of the crystals. The assumed polarization state was $\sigma$ for the $\mathbf{h}$ reflection. The amplitude profiles of the $\mathbf{h}$-reflected X-rays whose intensities are shown in Figs. $1(a)$ and $1(b)$ were Fourier-transformed to obtain the rocking curves shown in Figs. $1[S(b)]$ and $2[S(b)]$ of Ishiwata et al. (2010;
hereafter denoted IOI 2010). Reflection parameters used for the simulations were the same as those summarized in Table 1 of IOI 2010. Whereas Pendellösung fringes or oscillations can be observed in Fig. $1[S(b)]$ of IOI 2010 and Fig. 1(a), such oscillatory profiles were not found in Fig. $2[S(b)]$ of IOI 2010 and Fig. 1(b). While the former case does not satisfy the condition mentioned by Weckert \& Hümmer (1998) that the thickness of the crystal should be sufficiently small compared with the Pendellösung distance if the phase information were to be extracted (see Table 1 of IOI 2010), the latter case does.


Figure 1
Computer-simulated pinhole topographs for parallel-plate silicon crystals with thicknesses of $75 \mu \mathrm{~m}(a)$ and $7.5 \mu \mathrm{~m}(b)$, and those for spherical crystals whose diameters are $75 \mu \mathrm{~m}(c)$ and $7.5 \mu \mathrm{~m}(d) . \mathbf{h}(044)$ and $\mathbf{g}(440)$ reflection vectors were assumed to be parallel to the entrance surface of the crystal. Incident X-rays with a photon energy of 12.0 keV and a polarization state of $\sigma$ for the $\mathbf{h}$ reflection were assumed. Imaging plates were assumed to be placed $100 \mu \mathrm{~m}$ [for (a) and (c)] and $10 \mu \mathrm{~m}$ [for $(b)$ and $(d)$ ] behind the crystals such that $\mathbf{h}$ and $\mathbf{g}$ were parallel to the imaging plates.

On the other hand, Figs. $1(c)$ and $1(d)$ were obtained for spherical silicon crystals whose diameters are $75 \mu \mathrm{~m}$ and $7.5 \mu \mathrm{~m}$, respectively. The center of the sphere $S_{c}$ was placed such that the direction $r_{\mathrm{e}}-S_{\mathrm{c}}$ was parallel to $-\mathbf{h} \times \mathbf{g}$, where $r_{\mathrm{e}}$ is the incidence point of the X-rays. Surprisingly, it can be found that the patterns observed in topograph images simulated for spherical crystals are almost identical to those simulated for parallel-plate crystals whereas the shapes of the images for parallel-plate and spherical crystals completely differ. This reveals that the intensity distribution profiles in pinhole topographs depend on the size of the crystal but are almost uneffected by the shape of the crystal.

Al Haddad \& Becker (1990) reported a detailed discussion about the primary extinction effect of X-rays in a spherical crystal in twobeam cases based on Kato's spherical-wave dynamical theory (Kato, 1961a,b). The spherical-wave dynamical theory gives the same wavefield as the result of the two-beam T-T equation solved under the boundary conditions of spherical-wave incidence. In Al Haddad \& Becker (1990), it is found that complex considerations are needed when dealing with the behavior of X-rays in a spherical crystal, in which the diffraction geometries are categorized into three kinds of configuration. This complexity is due to the difficulty in considering the situation where the transmission (Laue) and reflection (Bragg) geometries coexist. This complexity can be avoided by the simple procedure described in $\S 2$ of a separate paper (Okitsu et al., 2011). The important point of this procedure is to let all values of $\chi_{h_{i}-h_{j}}$ in equation (8) of Okitsu et al. (2006) be zero when the position is outside the crystal but inside the 'virtual Borrmann fan' in the twobeam case or 'virtual Borrmann pyramid' in the $n$-beam case ( $n \in\{3,4,5,6,8,12\}$ ) when integrating the Takagi-Taupin equation.

The integrated intensities of X-rays reflected from a spherical crystal satisfying an $n$-beam condition fully bathed in the incident X-rays can also be estimated using the same procedure as described by Al Haddad \& Becker (1990). First, the intensity distribution profile of $\mathbf{h}$ - or $\mathbf{g}$-reflected X-rays in a pinhole topograph as shown in

Figs. $1(c)$ and $1(d)$ is to be integrated to obtain the 'total reflected intensities'. The 'total reflected intensities' are further integrated by scanning two-dimensionally the position of the incidence point $r_{\mathrm{e}}$ to obtain the integrated intensity. The position of $r_{\mathrm{e}}$ should be scanned over a plane whose distance from $S_{\mathrm{c}}$ is equal to the radius of the crystal in such a range that any portion of the crystal is inside the 'virtual Borrmann pyramid'.

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